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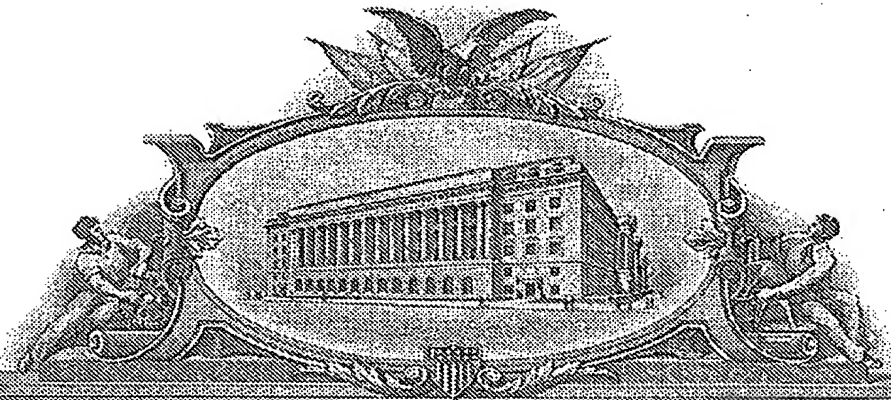
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**APPLICATION NUMBER: 60/536,908**

**FILING DATE: *January 16, 2004***

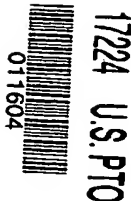
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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAIL STOP PROVISIONAL PATENT APPLICATION  
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PROVISIONAL APPLICATION COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under  
37 C.F.R. § 1.53(c).

TITLE: STREAMLINED PALLET HANDLING APPARATUS AND METHOD

Inventor(s)/Applicant(s):

|       |        |    |   |
|-------|--------|----|---|
| Smets | Robert | D  | Wilsonville, Oregon                     |
| Last  | First  | MI | City, State or Foreign Country and City |
| Last  | First  | MI | City, State or Foreign Country and City |
| Last  | First  | MI | City, State or Foreign Country and City |

- ☒ 7 pages of specification are enclosed.
- ☒ 5 sheet(s) of drawings are enclosed.
- ☒ Small entity status is claimed for this application.
- ☒ Provisional Filing Fee Amount:
  - ☒ \$ 80, small entity
- ☒ A check in the amount of \$80.00 to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge any additional fees that may be required in connection with the filing of this provisional application and recording any assignment filed herewith, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.
- ☒ Address all telephone calls to Michael P. Girard at telephone number (503) 226-7391.


☒ Address all correspondence to:

KLARQUIST SPARKMAN, LLP  
One World Trade Center, Suite 1600  
121 S.W. Salmon Street  
Portland, OR 97204

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By



Michael P. Girard

Registration No. 38,467

One World Trade Center, Suite 1600  
121 S.W. Salmon Street  
Portland, Oregon 97204  
Telephone: (503) 226-7391  
Facsimile: (503) 228-9446  
cc: Docketing

- 1 -

## STREAMLINED PALLET HANDLING APPARATUS AND METHOD

### Field

This application relates to apparatus and methods for handling pallets.

5

### Background

Pallets have been used for many years in connection with the storing, shipment, and handling of goods. Pallets are normally constructed of wood and are subject to damage because of the rough handling they receive. Therefore, it is necessary to periodically inspect  
10 pallets for damage and wear and to sort pallets according to their condition.

Commonly assigned U.S. Patent Application Publication 2003/0210978, which is incorporated herein by reference, describes a system for handling pallets with a pallet tilting mechanism that feeds a stack of pallets along a support surface and in turn to an off-bearing conveyor. In operation, the pallet tilting mechanism receives a generally upright stack of  
15 pallets, pivots downwardly to tilt the stack to a generally horizontal position, and pushes the stack to displace the pallets along the tilting mechanism and over the support surface. The support surface may be horizontal, or may be inclined at a slight upward angle as shown in the publication.

As the pallets are pushed on their side surfaces over the stationary support surface,  
20 the leading pallet of the stack is moved into contact with and temporarily restrained by a pallet restraining device. The pallet restraining device may be a counterweighted pallet restraining arm suspended downwardly from a support structure as shown in the application. The pallet restraining arm functions to keep the leading pallet generally upright until the advancing stack overcomes the arm's resistance and discharges the leading pallet from the  
25 end of the support surface. When discharged, the leading pallet drops onto a catch plate, and its top edge is caused to pivot forwardly so that the leading surface of the pallet (i.e., usually the pallet's top major surface) lies in contact with the off-bearing conveyor. As a result, the opposing trailing surface of the leading pallet (i.e., usually the pallet's bottom major surface)

- 2 -

is now oriented upwardly as the pallet moves along the conveyor, which allows for it to be inspected by the operator.

In a subsequent operation, it is often desirable to "turn over" the pallet such that its top surface is oriented upwardly. Such an operation might be done manually or with a device called a flipper that rotates one or more pallets 180 degrees to reorient them as desired.

Manually turning over the pallets is usually not desired, and for some applications, the costs and/or space requirements of an additional device such as a flipper or other similar device cannot be justified.

10

#### Summary

To address these and other problems, the support surface or other similar structure can be configured to allow inspection of both sides of the pallet, as well as to cause each pallet to be placed onto the conveyor with its top surface oriented upwardly, thereby eliminating the need for a subsequent operation to reorient the pallet.

In some implementations, the support surface has a second pallet restraining arm to supplement the first pallet restraining arm. The first pallet restraining arm functions to restrain the leading pallet from pivoting until the advancing stack overcomes the arm's resistance and the leading pallet is discharged. When discharged, the leading pallet drops vertically, such that its upper edge is below the pallet restraining arm, which allows the pallet to pivot forwardly.

As the leading pallet pivots forwardly, it contacts the second pallet restraining arm which is positioned to stop additional forward pivoting of the pallet beyond a predetermined range. This range may be adjusted to provide an appropriate opportunity to inspect the trailing surface of the pallet (usually its bottom surface). Meanwhile, while the upper edge of the pallet is restrained, the lower edge of the leading pallet is pulled forwardly by the advancing conveyor. With the forward pivoting halted, the forward movement of the lower

- 3 -

edge of the pallet effects a rearward pivoting of the pallet, resulting in the bottom surface of the pallet coming into contact with the conveyor.

### **Brief Description of Drawings**

5 Fig. 1 is a perspective view of a portion of the support surface and the conveyor, showing the leading pallet after it has been pivoted forwardly and is then restrained by the second pallet restraining arm.

Fig. 2 is a perspective view similar to Fig. 1, except showing the lower edge of the leading pallet advancing with the conveyor, having effected a rearward pivoting of the  
10 leading pallet.

Fig. 3 is a perspective view similar to Figs. 1 and 2, except showing the leading pallet just before the bottom surface fully contacts the conveyor.

Fig. 4 is a perspective view similar to Figs. 1-3, except showing the leading pallet having been advanced through the conveyor for subsequent downstream operations and a  
15 next pallet in the stack on the support surface being restrained by the first pallet restraining arm as the handling operation is about to be repeated.

Fig. 5 is a perspective view of the conveyor and also showing a curved conveyor for a downstream operation.

20

### **Detailed Description**

Described below are apparatus and methods providing streamlined handling of pallets. An exemplary apparatus is shown in the perspective views of Figs. 1-4, which illustrate a handling operation sequence.

Referring to Fig 1, the portion of an overall handling system 10 shown in the figures  
25 includes a support surface 12 along which a stack S of pallets is displaced in the direction of travel T from right to left in the figures, usually by a pushing mechanism (not shown). Also shown is a leading pallet L that has been discharged from the support surface 12, dropped to

- 4 -

a conveyor 30 and allowed to pivot forwardly (in the direction F) to the position as shown relative to the other pallets in the stack S.

The support surface 12 may be horizontal or may be inclined in the direction T at a slight angle as shown in the figures. Various devices may be used to displace the pallets  
5 along the support surface, such as pushing devices attached to a separate assembly (e.g., a pallet tilting mechanism) or incorporated as part of the support surface.

The position of the leading pallet L as shown in Fig. 1, in which the trailing surface 17 is angled away from the following pallet, provides one opportunity for the operator to inspect this surface. The leading surface 15 of the leading pallet is visible for  
10 inspection during several stages of the handling operation.

Suitable positioning of the leading pallet L, e.g. the forward pivoting as shown in Fig. 1, can be achieved in any number of ways. In the illustrated implementation, the upper edge of the leading pallet L is allowed to pivot forwardly until it contacts a second pallet restraining arm 16.

15 The second pallet restraining arm 16 is spaced forwardly of a first pallet restraining arm 14 in the direction T. In Fig. 1, the arm 14 is shown restraining a next pallet of the stack S that has not yet been discharged from the support surface 12. In the illustrated implementation, the arms 14 and 16 are suspended from above to contact upper portions of the pallets at different stages during the handling operation. In alternative implementations,  
20 one or both of the arms 14, 16 could be configured to project inwardly from opposite sides of the support surface and to contact the side portions of the pallets instead of their upper portions.

In the illustrated implementation, the arm 14 has a counterweight 18 and is pivotably connected to a supporting member, such as a support frame 22 as shown in Fig. 1. In  
25 operation, the arm 14 serves to restrain the pallet about to be discharged until the advancing stack S overcomes the arm's resistance and discharges the pallet from the support surface 12.

The arm 14 and the conveyor 30 are positioned relative to each other such that discharged pallets can pivot forwardly without contacting the arm 14. In the illustrated



- 5 -

implementation, the conveyor 30 is positioned at a lower height than the support surface 12, which allows a discharged pallet to pivot forwardly without interference from the arm 14.

The arm 16 can be connected to same supporting member as the arm 14, i.e., to the support frame 22 as shown, or to a separate member. The arm 16 can have an adjustment device 24 that permits its free end to be angled as desired. In the illustrated implementation, the arm 16 can pivot, which tends to soften the impact when the leading pallet L initially comes into contact with the arm 16. The pivoting arm 16 also allows an operator to easily reorient an occasional upside down pallet by manually pivoting the pallet in the forward direction F past the arm 16.

Next in sequence after Fig. 1, Fig. 2 shows the leading pallet L after it has begun to pivot rearwardly in the direction R. The lower edge of the pallet L has been displaced forwardly by the advancing conveyor 30 while the upper edge was restrained by the arm 16, thereby effecting a pivoting of the pallet L in the rearward direction. Depending upon the relative positioning of the arm 16 and the forward end of the stack S, the upper edges of the pallet L may slide along the next pallet of the stack S as the pallet pivots rearwardly. The rearward pivoting of the pallet L can be carried out such that less impact results, and thus no separate cushion arm projecting from the conveyor 30 is required, although one could be used if desired.

Fig. 3 shows the pallet L just before the trailing surface makes contact with the conveyor. Fig. 4 shows the pallet L with its top surface facing upwardly and moving in the direction T from the conveyor to a subsequent downstream operation and just before the process is about to be repeated for the next pallet N of the stack S.

As shown, the conveyor 30 is positioned downstream of the support surface 12. The conveyor 30 is typically operated intermittently to provide some delay as necessary between various steps of the operation. Such intermittent operation can be programmed to occur on a predetermined cycle, or may be controlled by the operator, i.e., through use of a control to start and stop the conveyor 30.

- 6 -

As best shown in Fig. 5, the receiving area where the leading pallet L being discharged is received by the conveyor 30 can be configured to assist in absorbing the shock of the dropping pallet and in causing a lower edge of the pallet to contact the conveyor 30. According to the illustrated implementation, the conveyor 30 has a member, such as a bar 32 as shown in Fig. 5, that is sufficiently strong to absorb the impact of pallets and is sized and/or shaped such that only one edge of a lower end of the pallet L tends to contact the moving belt of the conveyor, which tends to cause the pallet L to pivot rather than to remain upright as the belt continues to advance.

As also shown in Fig. 5, the conveyor 30 can lead to additional downstream areas, such as, e.g., a curved conveyor 34 as partially shown in the figure. A curved conveyor is desirable because the operator can occupy the smaller area on the inner side of the curved conveyor (which reduces the distance he must travel), whereas the area on the outer side of the curve conveyor can "fan out" with links to multiple other areas, e.g., different sorting designations.

The support surface 12 and arrangement of the arms 14, 16 has been described consistent with a role of receiving pallets from an upstream operation, such as from a pallet tilting mechanism (sometimes referred to as a "tipper"), and distributing pallets for subsequent downstream operations. The support surface 12 functions to receive and guide pallets along their side surfaces, and thus can be used in other situations, including cases without other upstream and/or downstream operations. The arms 14, 16, and particularly the arm 16, can be used in applications other than as illustrated in connection with the support surface 12. For example, the concept of the arm 16 can be used in any application where it may be desirable to rotate an object, such as a pallet, from its upright orientation to a generally level orientation, in either direction of rotation depending upon the particular circumstances.

If desired, one or more aspects of the operation of the arm 16 can be automated. Referring to the illustrated implementation, power can be provided, e.g., to permit the arm 16 to "unlatch" and allow movement of a pallet past the arm 16 when pivoted forwardly in

- 7 -

the direction F. This may be advantageous in situations where minimal manual handling of pallets is desired. A powered arm 16 may be configured in a longer length and with an attached idler wheel at its free end to facilitate automatic handling.

5 The described arm arrangement can be implemented in conjunction with a pallet repair table having a pair of separate conveyor belts spaced from each other (instead of the single belt shown in the figures) and an integrated pallet tipping arrangement.

Although the invention has been disclosed in this patent application by reference to the details of some preferred embodiments, it is to be understood that this disclosure is intended in an illustrative rather than in a limiting sense, as it is contemplated that  
10 modifications will readily occur to those skilled in the art within the spirit of the invention.

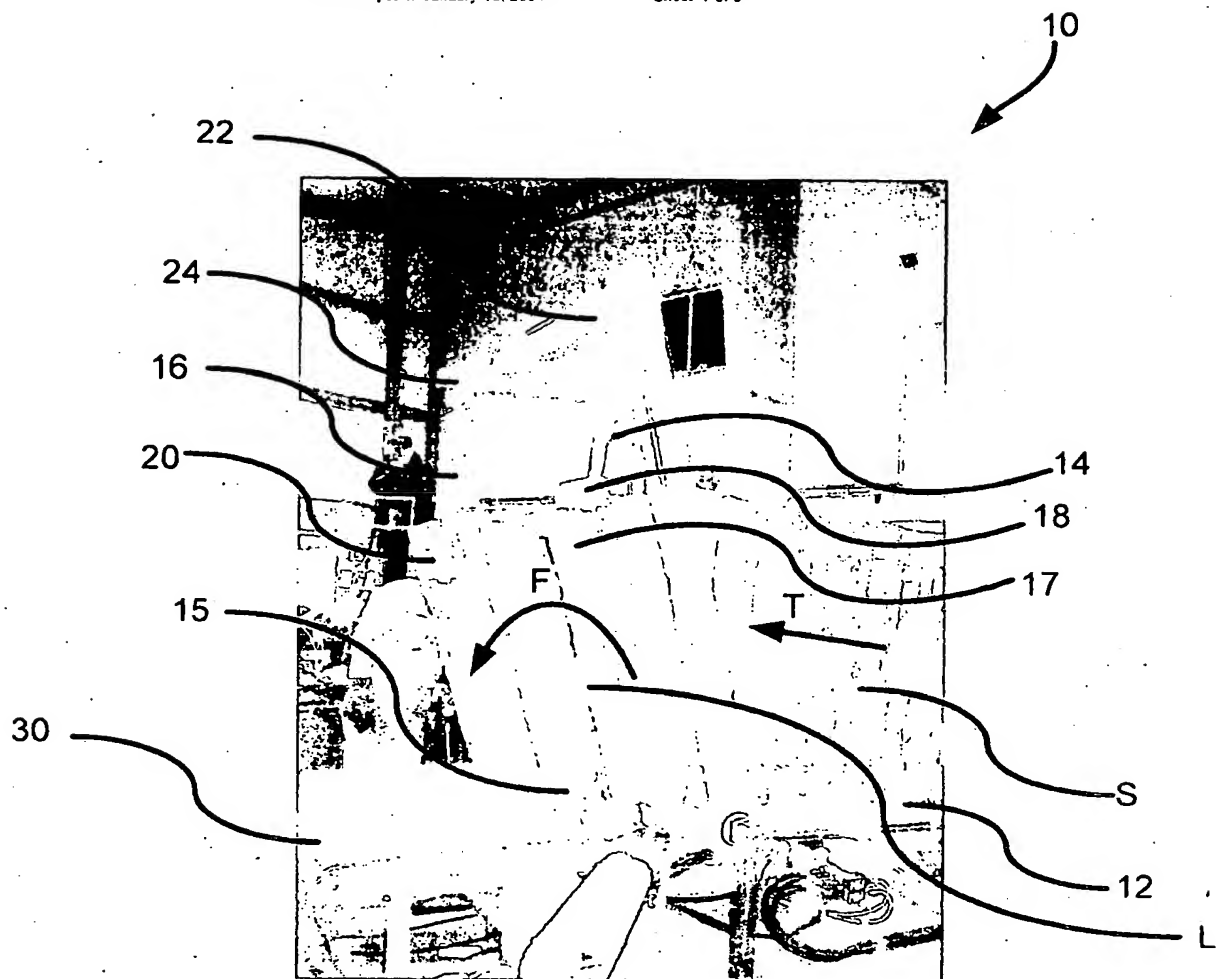


FIG. 1

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Klarquist Sparkman, LLP  
121 SW Salmon Street, Suite 1600  
Portland, Oregon 97204  
Telephone: (503) 226-7391  
Express Mail Label No. EV339204263US  
Date of Deposit: January 16, 2004

First Inventor: Robert D. Sheets  
For: STREAMLINE PALLET  
HANDLING APPARATUS  
AND METHOD

Sheet 2 of 5

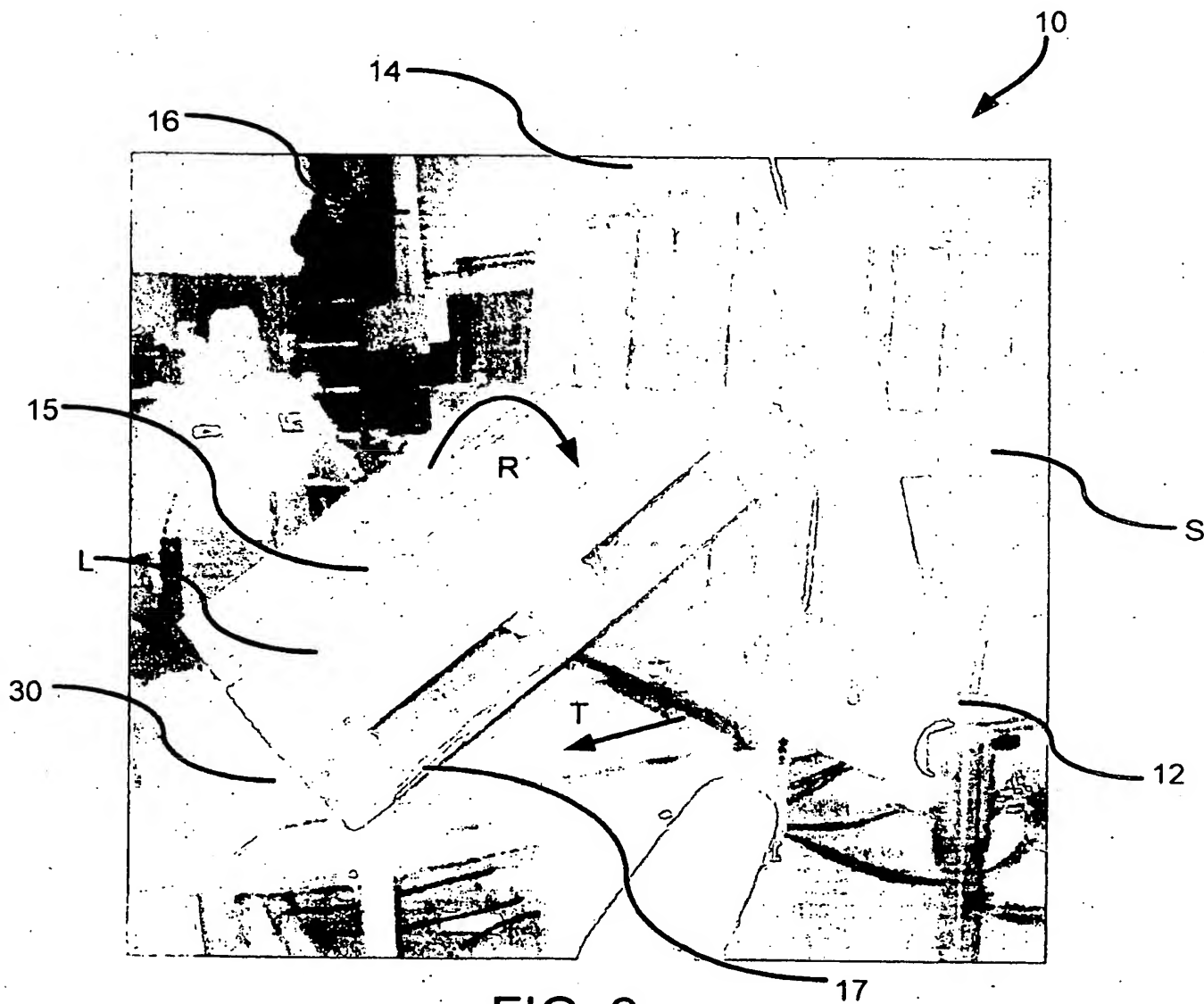


FIG. 2

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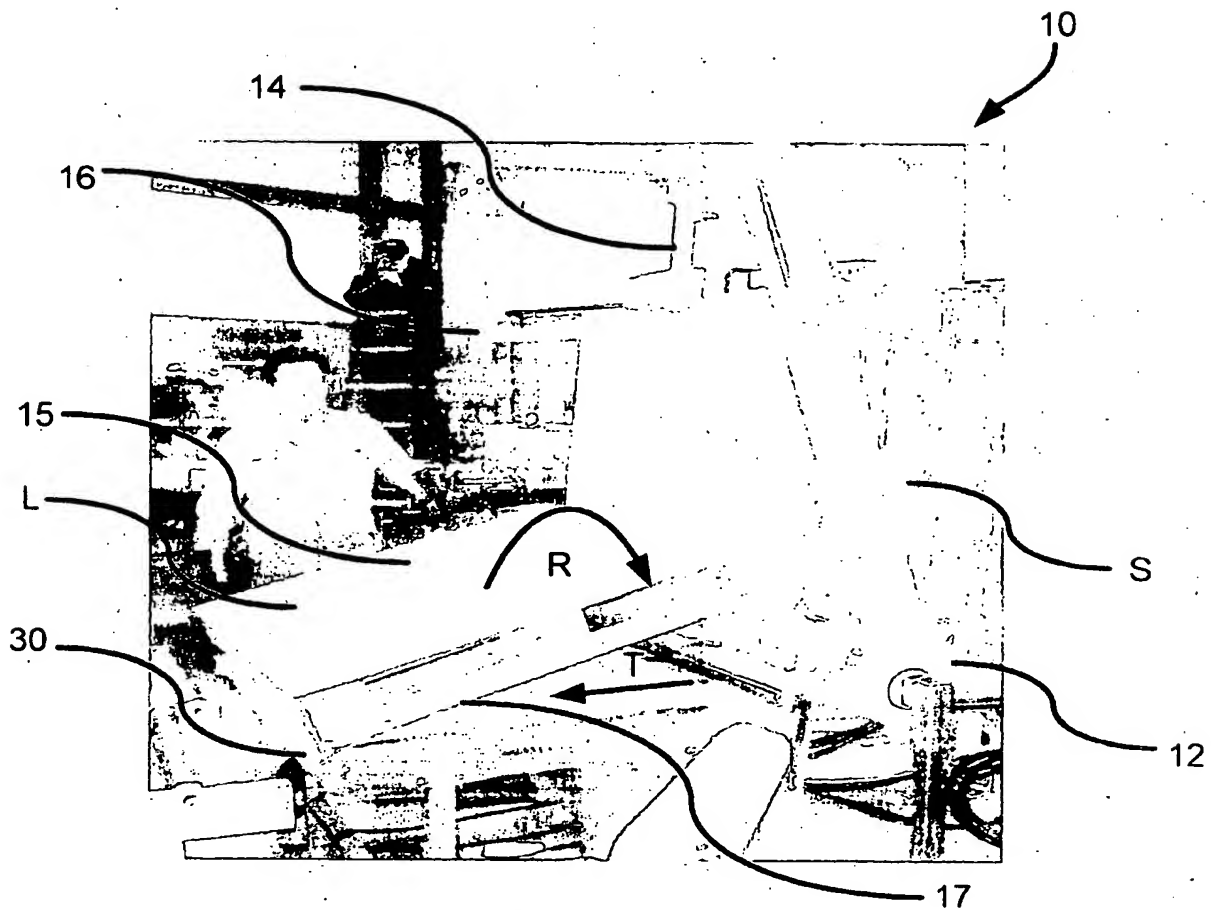
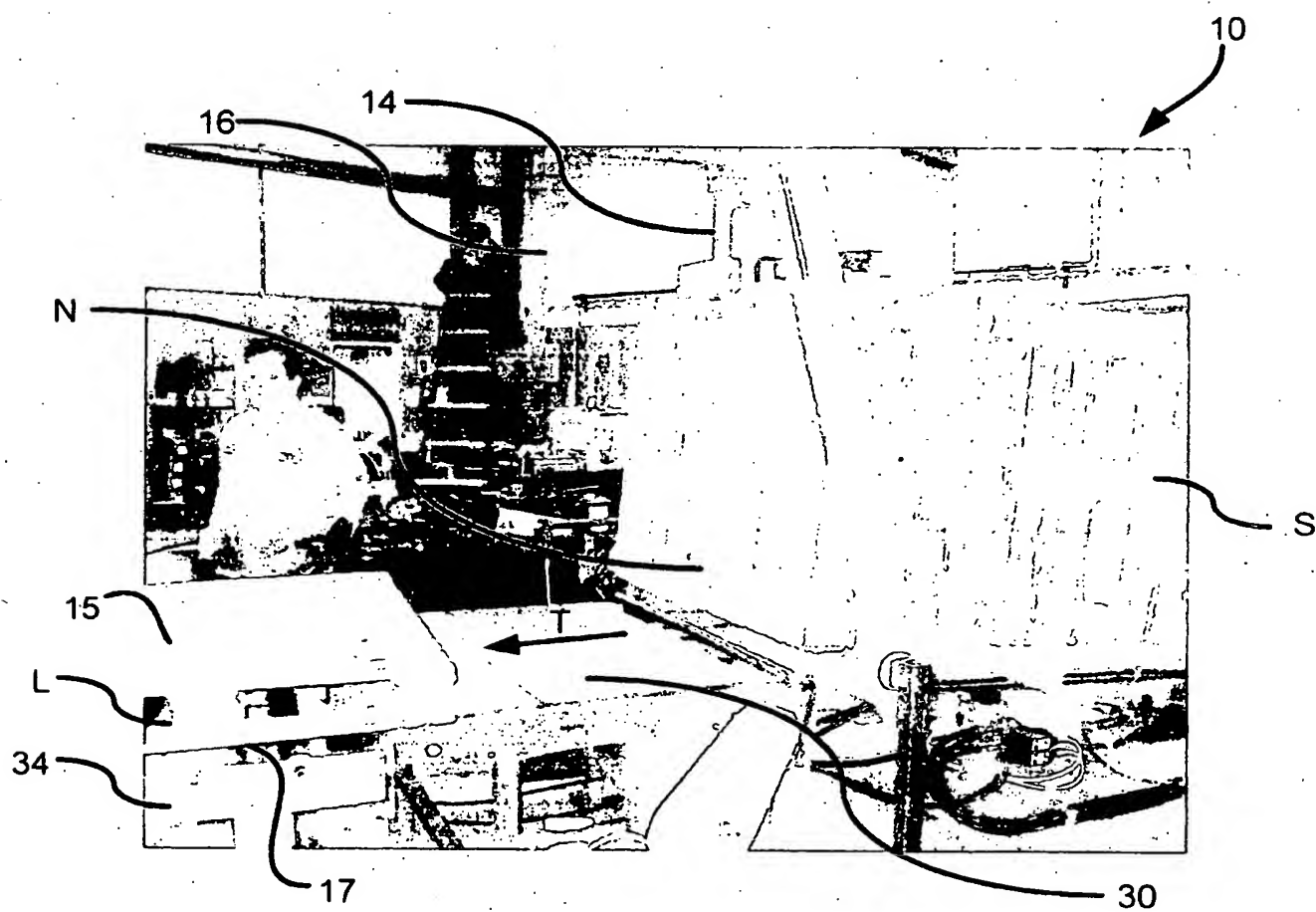


FIG. 3

First Inventor: Robert D. Smets  
For: STREAMLINED PALLET  
HANDLING APPARATUS  
AND METHOD

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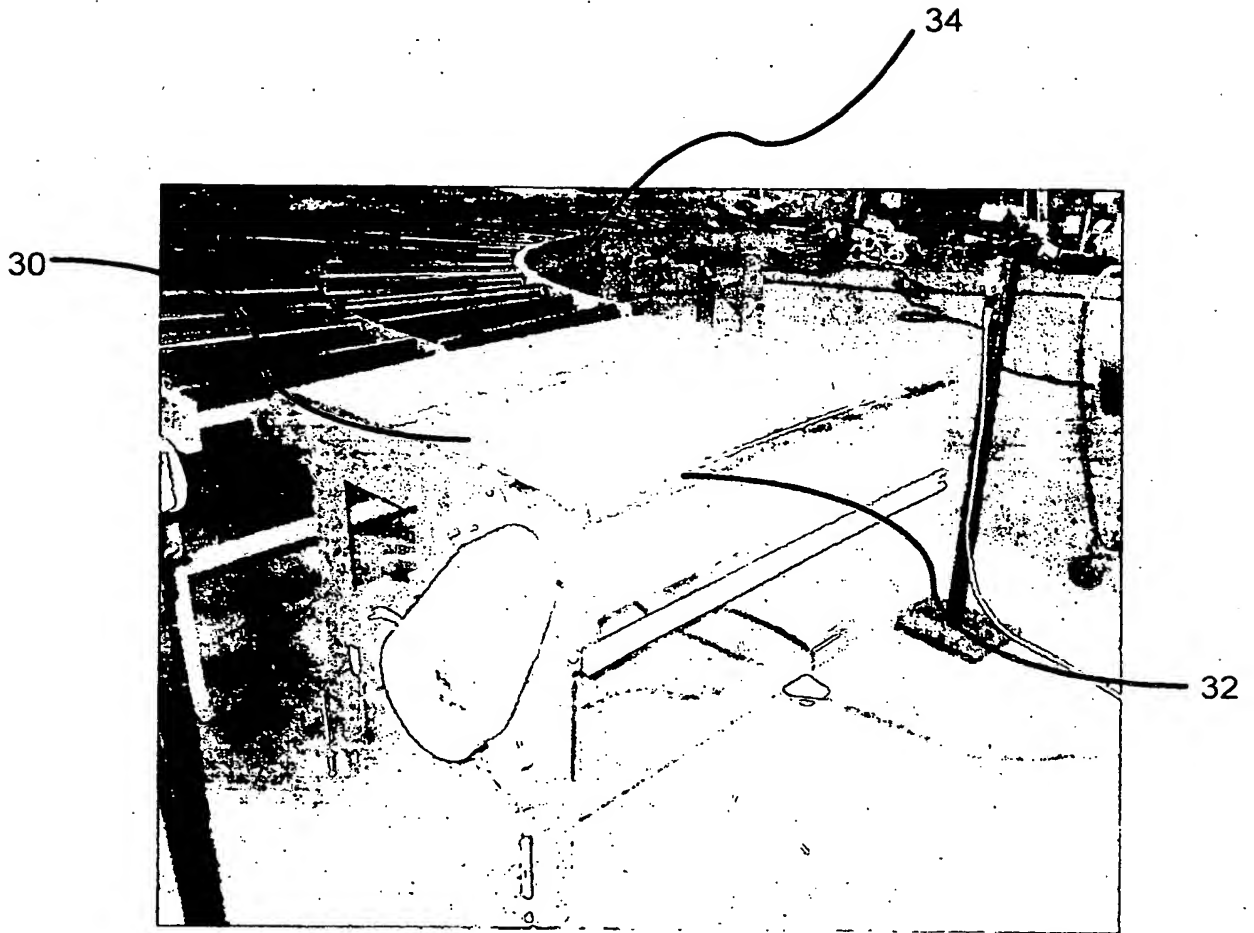


FIG. 5

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